PLANNED AUDIT 2011/2012

As at 8 March 2012 JOINT	System Name	Status		8/	Exception Issues	Audit	Recs		per		ped		sed	Ţ.
As at 8 March 2012 September 2012 September 2013 September 2014 September 2015			atio	Day		Opinion	Ž.		gre	Ę	gre		gre	Total Not Agreed
DINIT Brown Bins (1) Final Issued 20 11.0 Satisfactory 8 0 0 6 6 2 2 2 2 2 2 2 2	As at 8 March 2012	ALC: U	idit loc	ital		100		дb	o. A	edii	٥.	3	4.0	otal gre
Final Issued Satisfactory Sati			¥Ε	To Use	300		ž	王	ž	Σ	Ž	اد	Ž	FK
SODE	JOINT													
Name	I to the second of the second	Final Issued	20						_				_	
Solid Soli				1		-						1		0
SODC Satisfactory 3 0 0 1 1 2 2 2 2 2 2 3 3 3 3	VWHDC			11.0		Satisfactory	6	0	0	5	5	1	1	0
WHDC	Building Control (3)	Final Issued	10										_	
Capital Accounting (1)	SODC					-	1 1	-			1			0
SODC	VWHDC			6.0		Satisfactory	3	0	0	2	2	1	1	0
WHDC 7.0 Satisfactory 10 0 6 6 4 4 4 5 5 Satisfactory 10 0 0 6 6 4 5 5 5 5 5 6 6 4 4 4 4 5 5 5 5 6 6 4 4 4 5 5 5 5 6 0 <th< td=""><td>Capital Accounting (1)</td><td>Draft out</td><td>14</td><td>:</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></th<>	Capital Accounting (1)	Draft out	14	:										
Car Parks (2) SODC Satisfactory 10 0 0 6 6 4 4 4 5 5	SODC			7.0										
SODC 9.5 Satisfactory 10 0 0 6 6 4 4 4 5 5	VWHDC			7.0										
WHDC	Car Parks (2)	Final Issued	20											1
Very column	SODC			9.5		Satisfactory	10	0	0	6	6		ì	0
SODC	VWHDC	1		9.5		Satisfactory	9	0	0	4	4	5	5	0
SODC	Concessionary Fares (2)	Final Issued	10											
Council Tax (1) Final Issued 20 12.5 Full 1 0 0 1 1 0 0 0 0 0				1.0		Full	0	0	0	0	0	0		0
SODC	VWHDC			1.0		Full	0	0	0	0	0	0	0	0
VWHDC 12.5 Full 1 0 0 1 1 0 0 Creditor Payments (1) Draft out 20 10.0 10	Council Tax (1)	Final Issued	20											
Creditor Payments (1)	SODC			12.5		Full	1	0	0	1	1	0	0	0
SODC	VWHDC			12.5		Full	1	0	0	1	1	0	0	0
SODC 10.0 VWHDC 10.0 Elections Payments (2) In Progress SODC 0.5 VWHDC 0.5	Creditor Payments (1)	Draft out	20											1
Elections Payments (2) SODC VWHDC In Progress 14 0.5 0.5 0.5				10.0										
SODC 0.5 VWHDC 0.5	VWHDC		1	10.0										
VWHDC 0.5	Elections Payments (2)	In Progress	14											
VALUE	SODC			0.5										
	VWHDC			0.5										
Equalities and Diversity (2) Final Issued 16	Equalities and Diversity (2)	Final Issued	16						-					
SODC 7.5	· · · · · · · · · · · · · · · · · ·			7.5		Satisfactory	7	0	0	3	3	4	4	0
VWHDC	VWHDC			7.5]	Satisfactory	7	0	0	2	2	5	5	0
Establishment Listing (2) Final Issued 6	Establishment Listing (2)	Final Issued	6											
SODC				2.0		Limited	3	2	2	0	0	1	1	0
VWHDC 2.0 Limited 3 2 2 0 0 1 1				2.0		Limited	3	2	2	0	0	1	1	0
Facilities Management (3) Final Issued 14		Final Issued	14											
SODC 9.0 Satisfactory 16 0 0 7 7 9 9				9.0		Satisfactory	16	0	0	7	7	9	9	0
VWHDC Satisfactory 13 0 0 7 7 6 6				9.0		Satisfactory	13	0	0	7	7	6	6	0

General Ledger (1)	Final Issued	20											
SODC			10.0	•	Satisfactory	9	0	0	4	4	5	5	0
VWHDC			10.5		Satisfactory	9	0	0	5	5	4	3	1
Gifts & Hospitality (3)	Final Issued	10											
SODC			4.0		Sat/Limited	4	1	1	2	2	1	1	0
VWHDC			4.0		Sat/Limited	4	1	1	2	2	1	1	0
Grounds Maintenance (3)	Final Issued	20											
SODC			9.5		Satisfactory	14	0	0	7	7	7	7	0
vwhdc			9.5		Satisfactory	12	0	0	4	4	8	8	0
Groupwise/Exchange Procurement (2)	TOR sent	8											
SODC			0.0			[
VWHDC			0.0										
Housing & Council Tax Benefits (1)	In Progress	30											
SODC			9.5										
VWHDC			9.5										
Housing Allocations (2)	Postponed to	20		S151 office approved									
	2012/2013			postponement due to structure									
lanna .				harmonisation being delayed.									
SODC													
VWHDC	In December	14											
HR Pro System & Annual Leave Entitlement (3)	In Progress	14	2.0		1					<u> </u>			
SODC			2.0										
VWHDC	Final Issued	20	2.0										
Licensing (2) SODC	riliai issueu	20	13.5	Ì	Satisfactory	9	0	0	5	5	4	4	o l
VWHDC			13.5		Satisfactory	9	0	0	6	6	3	3	ő
	Final Issued	20	13.3		Satisfactory					<u> </u>			
NNDR (1) SODC	rillal issued	20	11.5		Satisfactory	7	0	0	4	4	3	2	1
VWHDC	1		12.0		Satisfactory	7	0	0	4	4	3	2	1
Ocella/Uniform ICT Procurement (2)	Final Issued	8	12.0		Satisfactory	,	- Ŭ		<u> </u>	<u> </u>			
SODC	rillarissueu	"	4.0		Full	1	o	0	0	l o	1	1	0
VWHDC			4.0		Full		0	0	0	0	1	1	o
Payroll (1)	Final Issued	30	7.0		i un				-	<u> </u>			
SODC	i mai issueu	"	15.0		Limited	14	2	2	8	7	4	4	1
VWHDC	·		15.0		Limited	12	1	1	7	6	4	4	1
Petty Cash Spot Checks (3)	Final Issued	6											
SODC			2.0		Full	0	0	0	0	0	0	0	0
VWHDC			2.0		Full	0	0	0	0	0	0	0	0
Planning Fees (2)	Final Issued	14	†										
SODC			5.5		Full	3	0	0	0	0	3	3	0
VWHDC			5.5		Full	2	0	0	0	0	2	2	0
Pro-Active Anti-Fraud Review (1)	In Progress	20			-					ĺ			
SODC			3.5										
VWHDC			3.5										
VWIDO		<u> </u>	1 5.5	<u></u>					L	L			

Stationery System (3)	Final Issued	12											
SODC			6.0		Satisfactory	2	0	0	2	2	0	0	0
VWHDC			6.0		Satisfactory	2	0	0	2	2	0	0	0
Sundry Debtors (1)	Draft out	20											
SODC	.		9.0										
VWHDC			9.0										
Travel & Subsistence (2)	Final Issued	20											
SODC			10.0		Satisfactory	14	0	0	5	4	9	9	1
VWHDC			10.0		Satisfactory	15	0	0	6	5	9	9	1
Treasury Management (1)	In Progress	14											
SODC			0.0										
VWHDC			0.0										
Verification of Budget Savings (2)	TOR sent	20											
SODC			0.5										
VWHDC			0.5										
Verification of Performance Indicators (2)	In Progress	20											
SODC	:		1.0										
VWHDC			1.0										
Waste Management & Recycling (2)	Final Issued	20											
SODC			9.5		Limited	13	2	2	7	7	4	4	0
VWHDC			9.5		Limited	14	- 2	2	8	8	4	4	0
SODC				983									
Leader Project Grant Verification (2)	Final Issued	4	2.5		Full	0	0	0	0	0	0	0	0
VWHDC										,			
Cash Office (2)	Final Issued	4	4.5		Limited	14	3	3	6	6	5	4	1
TOTALS	-	538	413.0			281	16	16	139	135	126	122	8
				Ful	l 1 1								
				Satisfactory	22								
				Limited									
					ı o								

FOLLOW UP AUDITS 2010/2011

System Name	Total Days Used	Original Audit Opinion Issued	Total No. of Recs Agreed	Implemented	Partly Implemented	Not Implemented	Ongoing
SODC		20 UT 25 20 40 UT				1	
LEADER Project Assurance	0.5	Satisfactory	6	5	1	0	0
Cornerstone EP, DR and BCP	0.5	Satisfactory	6	4	1	1	0
HR Grievance	0.5	Full	2	1	0	1	0
HR Recruitment	0.5	Satisfactory	2	1	0	1	0
Leisure Centres	0.5	Limited	9	8	1	0	0
Health and Safety	0.5	Limited	12	6	2	3	1
ICT	0.5	Satisfactory	5	3	0	1	1
Pest Control	0.5	Limited	4	0	0	2	2
Time Management	0.5	Satisfactory	4	3	0	1	0
VWHDC							
Car Loans	0.5	Limited	10 - 8 now N/A	2	0	0	0
HR Grievance	0.5	Full	3	2	0	1	0
HR Recruitment	0.5	Satisfactory	4	2	1	1	0
Leisure Centres (WHTLC)	0.5	Limited	9	8	1	0	0
Leisure Centres (SOLL)	0.5	Limited	6	6	0	0	0
Petty Cash Procedures	0.5	Satisfactory	9 - 2 now N/A	6	0	1	0
Health and Safety	0.5	Limited	14	7	2	4	1
ICT	0.5	Limited	8	2	0	5	1
Stray Dogs	0.5	Satisfactory	3	0	0	2	1
Mortgages Administration	0.5	Limited	6	4	0	0	2
Time Management	0.5	Satisfactory	4	3	0	1	0
TOTALS							

FOLLOW UP AUDITS 2011/2012

System Name	Total Days Used	Original Audit Opinion Issued	Total No. of Recs Agreed	Implemented	Partly Implemented	Not Implemented	Ongoing
SODC							
Gifts and Hospitality	0.5	Satisfactory/Limited	4	2	0	1	0
Stationery System	0.5	Satisfactory	2	1	0	1	0
Ocella/Uniform ICT Procurement	0.5	Fulf	1	1	0	0	0
Facilities Management	0.5	Satisfactory	16	8	2	5	1
VWHDC							
Gifts and Hospitality	0.5	Satisfactory/Limited	4	2	0	1	0
Stationery System	0.5	Satisfactory	2	1	0	1	0
Ocella/Uniform ICT Procurement	0.5	Full	1	1	0	0	0
Facilities Management	0.5	Satisfactory	13	7	1	4	1 _
TOTALS							

UNPLANNED WORK 2011/2012

CONSULTANCY

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
Carbon Management Programme	Carry forward 1213 Q1	N/A	N/A	Shared Policy & Engagement Manager
Housing Debt Management System	Completed	5 days	10.5 days	Section 151 Officer
Physical Transfer of Valuable Items	Completed	3 days	1 day	Section 151 Officer
Spot check of internet usage	In Progress	2 days	TBC	Section 151 Officer
SODC				
VWHDC				
Travel and Subsistence Receipts Spotchecks	Completed	2 days	1 day	Audit and Governance Committee

CONTINGENCY

System Name	Status	Audit Allocation	Total Days Use	Requested By
JOINT		100 CO		
SODC		3989		
Investigation	In progress	10 days		Strategic Director
VWHDC				
Payment of Car Loan (Creed)	Completed	5 days	1.5 days	Section 151 Officer

SYSTEM DEVELOPMENT

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
SODC				
VWHDC		Section 1		
				ALCON TO THE PARTY OF THE PARTY